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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/945,128	08/31/2001	Jonathan M. Traxler	082225.P5823

CONFIRMATION NO. 3008

008791

BLAKELY SOKOLOFF TAYLOR & ZAFMAN
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR
LOS ANGELES, CA 90025

FORMALITIES LETTER



OC000000006847040

Date Mailed: 10/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/07/2002 BNGUYEN1 00000004 09945128

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jonathan M. Traxler

Application No.: 09/945,128

Filed: August 31, 2001

For: A SYSTEM, APPARATUS, AND METHOD
TO CONFIGURE A SERVER IN THE FIELD

Art Unit: Unassigned

Examiner: Unassigned

Box Missing Parts
Assistant Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
FILED UNDER 37 C.F.R. 1.53(b) (FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted)
mailed October 4, 2001, please find enclosed:

- (1) a check in the amount of \$130.00 in payment of the surcharge under
37 C.F.R. § 1.16(l);
- (2) a copy of the Notice to File Missing Parts of Application; and
- (3) a signed Declaration and Power of Attorney.

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with
sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on 11/29/01
Date of Deposit

Michelle Offenbaker
Name of Person Mailing Correspondence

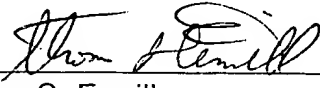
[Signature] 11/29/01
Signature Date

If any additional fee is required, please charge Deposit Account No. 02-2666.
A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 11-29-01



Thomas S. Ferrill
Reg. No. 42,532

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026

(408) 720-8300

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FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on 11/29/01

Date of Deposit

Michelle Offenbaker

Name of Person Mailing Correspondence

Signature

Date

Serial/Patent No.: 09/945,128 Filing/Issue Date: August 31, 2001
Client: Sun Microsystems, Inc.
Title: A System, Apparatus, and Method to Configure a Server in the Field

BSTZ File No.: 082225.P5823 Atty/Secty Initials: MJM/TSF/mro/bks
Date Mailed: 11/29/01 Docket Due Date: December 4, 2001

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- | | | |
|--|--|--|
| <input type="checkbox"/> Amendment/Response (____ pgs.) | <input type="checkbox"/> Express Mail No.: _____ | <input checked="" type="checkbox"/> Check No. <u>46664</u> |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate) | <input type="checkbox"/> _____ Month(s) Extension of Time | Amt: <u>130.00</u> |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract) | <input type="checkbox"/> Information Disclosure Statement & PTO 1449 (____ pgs.) | <input type="checkbox"/> Check No. _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.) | <input type="checkbox"/> Issue Fee Transmittal | Amt: _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.) | <input type="checkbox"/> Notice of Appeal | |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.) | <input type="checkbox"/> Petition for Extension of Time | |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.) | <input type="checkbox"/> Petition for _____ | |
| <input type="checkbox"/> Application - Design (____ pgs.) | <input checked="" type="checkbox"/> Postcard | |
| <input type="checkbox"/> Application - PCT (____ pgs.) | <input type="checkbox"/> Power of Attorney (____ pgs.) | |
| <input type="checkbox"/> Application - Provisional (____ pgs.) | <input type="checkbox"/> Preliminary Amendment (____ pgs.) | |
| <input type="checkbox"/> Assignment and Cover Sheet | <input type="checkbox"/> Reply Brief (____ pgs.) | |
| <input checked="" type="checkbox"/> Certificate of Mailing | <input checked="" type="checkbox"/> Response to Notice of Missing Parts | |
| <input checked="" type="checkbox"/> Declaration & POA (<u>5</u> pgs.) | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business | |
| <input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.) | <input type="checkbox"/> Transmittal Letter, in duplicate | |
| <input type="checkbox"/> Drawings: _____ # of sheets includes _____ figures | <input type="checkbox"/> Fee Transmittal, in duplicate | |

Other: copy of Response to Notice to File Missing Parts of Application Filed Under 37 C.F.R. 1.53(b) (Filing Date Granted).

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82225.P5823

COPY OF PAPERS
ORIGINALLY FILED

GP 2152
Patent



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#6

In re Application of:

Jonathan M. Traxler

Serial No. 09/945,128

Filed: August 31, 2001

For: A SYSTEM, APPARATUS, AND
METHOD TO CONFIGURE A
SERVER IN THE FIELD

Examiner: Not Yet Assigned

Art Unit: 2152

RECEIVED
MAY 08 2002
Technology Center 2100

Assistant Commissioner of Patents and Trademarks
Washington, D.C. 20231

CROSS REFERENCE UNDER 37 C.F.R. § 1.78(A)(2)
TO RELATED APPLICATIONS

Dear Sir:

Pursuant to 37 C.F.R. § 1.78(a)(2), applicants hereby cross-reference the following related patent application, which is assigned to the same assignee as the present patent application: U.S. Patent Application No. 10/042,092, filed on January 7, 2002.

If there are any charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 4-23-, 2002

Thomas S Ferrill

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